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The Bronfenbrenner Center for Translational Research

In 1974, the Family Life Development Center (FLDC) was established by New State legislation to study and develop programs to prevent child abuse. On July 1, 2011, FLDC merged with the Bronfenbrenner Life Course Center to create the Bronfenbrenner Center for Translational Research (BCTR). The BCTR mission is to improve professional and public efforts to understand and deal with risk and protective factors in the lives of children, youth, families, and communities that affect family strength, child wellbeing, and youth development. The Therapeutic Crisis Intervention System is one of several programs delivered by the BCTR relevant to the lives of children, families, and care agencies.

In the early 1980s, under a grant from the National Center on Child Abuse and Neglect, Cornell University developed the Therapeutic Crisis Intervention (TCI) crisis prevention and intervention model for residential child care organizations as part of the Residential Child Care Project (RCCP). The TCI system assists organizations in preventing crises from occurring, de-escalating potential crises, managing acute physical behavior, reducing potential and actual injury to young people and staff, teaching young people adaptive coping skills, and developing a learning organization. This model gives organizations a framework for implementing a crisis prevention and management system that reduces the need to rely on high-risk interventions.

The RCCP supports vigorous and ongoing in-house evaluation of TCI training and implementation efforts through testing participants’ knowledge and skills, a certification program, formal assessment, and direct monitoring of agencies’ use of high-risk interventions. The RCCP seeks to maintain a leadership role in discovering new knowledge, establishing new approaches to knowledge dissemination, and developing innovative programs to enable child caring agencies to serve children, youth, and families more effectively by building strong linkages among research, outreach activities, and evaluation efforts. These relationships are viewed as cyclical: research leads to the development of innovative and effective outreach programs, which are carefully evaluated. Evaluation activities contribute directly to the adaptation and improvement of outreach programs and may also contribute to new research. In-house and external evaluations have been essential in modifying intervention strategies and protocols to improve the TCI system’s effectiveness for a wide range of organizations (see Figure 1).

Since the curriculum’s inception there have been five major revisions. The revision process has generally included (a) examining the evaluation results and research conducted by the RCCP, (b) reviewing related literature and research, (c) conducting surveys of organizations using the TCI system, (d) talking to other crisis management training providers, and (e) convening experts for consultation and review.

![Figure 1. Research, Practice, and Evaluation Cycle](image-url)
**RESIDENTIAL CHILD CARE PROJECT PROGRAMS**

**THERAPEUTIC CRISIS INTERVENTION [TCI] COMPONENT**

- **TCI Training of Trainers [Txt]**
  - Regularly Scheduled Training
  - Basic TCI training offered at locations throughout the U.S. and abroad.

- **TCI Training for Family Care Providers**
  - More comprehensive than basic TCI, it includes assessment, planning, and training of trainers on location.

- **TCI Assessment and Implementation Package**
  - The most comprehensive TCI package offered. It includes assessment, training, implementation, and technical assistance follow-up for a 1-1/2 to 2-year period.

**INSTITUTIONAL ABUSE [IAB] COMPONENT**

- **IAB provides training and technical assistance to governmental bodies in the prevention, investigation and remediation of maltreatment in out-of-home care.**

**CARE COMPONENT**

- **CARE (Children and Residential Experiences: Creating Conditions for Change)**
  - CARE component works directly with child caring organizations to establish a safe, developmentally appropriate, and trauma sensitive framework for practice that serves the best interest of the child.

**TCI Updates**

- A Txt addition to basic TCI
- Topics focus on current issues.
- *Required to maintain trainer certification.

**TCI Workshops**

- Professional development programs for TCI-trained practitioners.
- Not a Txt program.

**Technical Assistance**

- Investigations
- Case Reviews
- Fatality Reviews
- Prevention Strategies

**TCI Workshop Seminars**

**TxT = Training of Trainers**
TCI Implementation Study

Project Overview

The purpose of the implementation and evaluation project involving Cornell University’s Family Life Development Center and a residential facility in the Northeastern Region of the United States was to introduce a crisis prevention and management program, Therapeutic Crisis Intervention (TCI), into a residential setting and evaluate its effect.

Developed by Cornell University under a grant from the National Center on Child Abuse and Neglect in the early 1980s, TCI is a crisis prevention and intervention model for residential child care facilities that assists organizations in preventing crises from occurring, de-escalating potential crises, managing acute physical behavior, and reducing potential and actual injury to children and staff. This model gives child and youth care staff the skills, knowledge, and attitudes to help young people when they are at their most destructive. It also provides child care workers an appreciation of the influence that adults have with children who are troubled, and the sensitivity to respond to both the feelings and behavior of a youth in crisis. In all phases of this process, from prevention, to de-escalation, to therapeutic crisis management, the program is oriented toward residential child care personnel helping the child learn developmentally appropriate and constructive ways to deal with feelings of frustration, failure, anger, and pain.

What Did Cornell Expect TCI To Accomplish?

As a result of implementing TCI, it was anticipated that agency staff would be able to prevent, de-escalate, and manage crisis situations with children and young people in residential care. More specifically, child care workers and supervisors would:

• more effectively manage and prevent crisis situations with children
• feel more confident in their ability to manage crisis situations, and
• work as a team to prevent, de-escalate, and manage acute crises

As a result of the implementation of TCI, the facility would see:

• fewer physical restraint episodes after implementation and training
• fewer injuries to children and staff as a result of physical restraints
• increased knowledge and skill on the part of facility personnel to handle crisis episodes effectively, and
• an attitude change among staff and supervisors on the use of physical action in crisis situations

It was recognized that, immediately after TCI training and implementation, the facility might see an increase in the numbers of incident reports due to better reporting, documentation, and monitoring of incidents.

What Was Cornell's Implementation and Evaluation Plan?

The implementation and evaluation project was designed to be completed in three phases over 18 months, from October 1994 to March 31, 1996 (See Figure 3 on page 8).

The pre-implementation phase: During the first phase of this project (October, 1994 to March, 1995) prior to implementation of TCI, Cornell staff collected incident reports, and developed a computer-based data collection instrument to facilitate analysis and record incidents.

The training and implementation phase: During the second phase of this project (March 1995 to September 1995), Cornell staff met with the residential care staff to administer pre-tests, conduct interviews (all tests and interviews were confidential and anonymous). Four trainers from the organization attended Training of Trainers in Therapeutic Crisis Intervention workshops sponsored by the Residential Child Care Project. Throughout the training and implementation phase all levels of residential child care personnel attended TCI training conducted by the Cornell-trained residential staff. In addition, supervisors attended special sessions conducted by Cornell staff to consider implementation, monitoring, and supervisory issues.
The post-implementation phase: The post-implementation phase (October 1995 to March 1996) began after staff had been trained and the program had been implemented. Cornell staff administered post-tests and conducted interviews. Technical assistance was available throughout the life of the project as needed both via telephone and on-site. Incident data were collected from October 1, 1995 to March, 1996 and contrasted to the incident data collected prior to implementation. Confidence scales and knowledge based post-tests data collection continued at periodic intervals.

Throughout the life of this 18-month project, incidents were input in a data collection set in order to track the types and numbers of incidents and the effects of TCI implementation. An advisory/implementation group selected by the agency’s director, and made up of supervisors and clinical staff, met with Cornell staff throughout the project to help facilitate the project.

Integral to the implementation of this TCI methodology was a multi-method evaluation design which (a) provided baseline and follow-up data on crisis episodes within the residential care agency for an 18-month period; and (b) evaluated the effectiveness of both the crisis intervention methodology and the strategy for its implementation via training and technical assistance (See Figure 4). The evaluation design was a mix of qualitative and quantitative methods designed to discover current crisis intervention practices and to assess whether the project had reached its goals. This multi-method approach gave the implementation team methods to check and recheck the reliability of both qualitative and quantitative data gathered. It also offered the project team tools to study the phenomenon of crisis events within an organization.

Methodology: Evaluation of Outcomes

The incident reports, the pre- and post-implementation interviews with staff and supervisors, the confidence scale and the pre- and post-training knowledge tests were the principal data collection methods for evaluating the effectiveness of the crisis intervention methodology. The effectiveness of the project’s implementation process was measured by positive changes in staff confidence levels, a decrease
in the number of restraint episodes, and an increase in the knowledge and skill levels of staff (See Table 1 on page 10).

**What Did Cornell Learn?**

During the 18-month implementation period in which Cornell worked with the residential agency, the following results were evident: increased staff confidence, greater consistency in approaching children in crisis, documented reductions in incidents, increased staff knowledge of crisis dynamics, and an in-house training system (See Table 2 on page 11).

**Confidence**
- Staff members were more confident in their ability to manage crisis situations
- Staff members increased their confidence as a team in handling crisis situations

**Consistency in approaching children in crisis**
- Staff members and supervisors indicated a more consistent approach to children in crisis

**Reductions in incidents**
- Evidence of reductions in fighting, serious verbal abuse, restraints, and assaults was documented in the three units that implemented TCI
- Statistically significant reductions in physical restraints occurred in Unit B

**Increased staff knowledge and the development of an in-house training system**
- Staff members increased their knowledge of crisis intervention, and this increase in knowledge persisted up to 10 months after training was completed
- Selected supervisory staff members learned basic and sophisticated techniques to conduct effective and long-lasting training programs

**Study Limitations**

There are limitations with the evaluation methodology in this study. Although the agency appears representative of numerous small to medium-sized not-for-profit organizations throughout North America, a major question remains about the process of implementation and the incidence reduction results being generalizable to other organizations. The agency did volunteer for TCI implementation, and by doing so is a self-selected group. An argument could be made that this agency would have achieved the same results with any other crisis prevention and management system simply because it was ready to incorporate an agency-wide program.

Other fundamental questions remain, for example, about whether the incidence reductions were due to TCI's prevention and de-escalation strategies, or whether the existing leadership through tighter supervision and monitoring alone could have produced the same reduction. What is necessary is a methodology that incorporates a more sophisticated pre- and post-design with a sample of organizations in differing geographic areas throughout North America. The basic pre-post design might follow a staggered schedule of training for units within an agency, as well as for differing agencies. Implementing this design can help maintain the internal validity of the project, while supporting its evaluation and monitoring strategies. Such a staggered approach to training is often necessitated by institutional concerns of scheduling and resources, but can be used to the advantage of the evaluation effort. The strength of this design derives from the ability to compare baseline data with follow-up data within each group, but also adds a meaningful comparison between the follow-up data of like agencies and units. If these two comparisons yield similar results, then rival hypotheses regarding differences between the groups or temporal changes other than the training can be ruled out.

Future evaluation design could well be carried out by independent evaluation staff. The introduction of control or comparison organizations into the evaluation methodology, and an independent evaluator would provide more confidence in any results.
## Overview of the Evaluation Design

*Implementing, Monitoring, and Evaluating a Therapeutic Crisis Intervention Methodology in a Residential Child Care Facility*

<table>
<thead>
<tr>
<th>Information Domains</th>
<th>Agency and Personnel Profile</th>
<th>Effective Management</th>
<th>Confidence</th>
<th>Teamwork</th>
<th>Restraint Episodes</th>
<th>Increased Knowledge and Skill</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Instrument</strong></td>
<td>General Questionnaire</td>
<td>General Questionnaire and Interview Guide</td>
<td>General Questionnaire and Interview Guide</td>
<td>General Questionnaire and Interview Guide</td>
<td>Incident Report</td>
<td>Multiple Choice Pre-Post-test</td>
</tr>
<tr>
<td><strong>Type of Data Gathered</strong></td>
<td>Demographic Data</td>
<td>Qualitative &amp; Quantitative (Likert scale)</td>
<td>Qualitative &amp; Quantitative (Likert scale)</td>
<td>Qualitative &amp; Quantitative (Likert scale)</td>
<td>Quantitative</td>
<td>Quantitative Number of Correct Responses</td>
</tr>
<tr>
<td><strong>Type of Score Produced</strong></td>
<td>Single Item Indicators</td>
<td>Total Score</td>
<td>Total Score</td>
<td>Total Score</td>
<td>Total Episodes</td>
<td>Item Analysis and Total Score Compared from Pre-to Post-testing</td>
</tr>
</tbody>
</table>

### Data Synthesis and Findings Summary

1. Report findings which support or refute projected outcomes or hypotheses.
2. Report on questions raised that warrant further study.
3. Develop an information management system to assess incidents for a residential child care agency.

Table 1. Overview of the Evaluation Design
Results of Implementation and Evaluation Project

<table>
<thead>
<tr>
<th>INTERVIEWS</th>
<th>TESTS</th>
<th>INCIDENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Supervisors report:</td>
<td>Confidence: Tests indicate significantly increased levels of confidence in:</td>
<td>Documented reductions over the 18 month study in:</td>
</tr>
<tr>
<td>• an increase in staff skills</td>
<td>• managing crisis</td>
<td>• fighting</td>
</tr>
<tr>
<td>• a consistent strategy for intervention</td>
<td>• working with co-workers to manage crisis</td>
<td>• serious verbal threats</td>
</tr>
<tr>
<td>• higher level of practice standards</td>
<td>• knowledge of agency policy and procedures</td>
<td>• physical assaults</td>
</tr>
<tr>
<td>Workers report:</td>
<td>• helping children learn to cope</td>
<td>• runaways</td>
</tr>
<tr>
<td>• more consistent incident reporting</td>
<td>Training: Knowledge tests indicate:</td>
<td>for the entire agency</td>
</tr>
<tr>
<td>• consistency in follow-up</td>
<td>• a significant increase from pre- to post-test in learning scores</td>
<td>Statistically significant reductions in physical restraint reports in Unit B over the 18 month period</td>
</tr>
<tr>
<td>Supervisors and workers reported differing perceptions of whether a debriefing session occurred and how effective it was</td>
<td>• only a 5% drop in learning after 10 months</td>
<td>Statistically significant increases in physical restraint reports occurred in Unit A (contrast group) over the 18 month period</td>
</tr>
<tr>
<td>• TCI was implemented in Units B, C, D</td>
<td>• after training, 87% of participants plan to use the knowledge and skills</td>
<td></td>
</tr>
<tr>
<td>• TCI was not implemented in Unit A</td>
<td>• after training, 93% reported they were able to use the knowledge and skills</td>
<td></td>
</tr>
</tbody>
</table>

Table 2. Results of Implementation and Evaluation Project
**Project Successes**

**Leadership.** Despite the limitations of our evaluation methodology, the success of this project points to the necessary elements of leadership, cooperation, and collaboration among executive, clinical, and supervisory staff within an organization. Through the executive leadership the project gained remarkable access to the inner workings of a residential agency. The executive director clearly understood and supported the notion that any crisis prevention and management system needed to be consistent with the organization’s mission and philosophy of child care, and had to be supported through clear and well-known policies and procedures. Through the executive director’s leadership, time and money were allocated to allow the entire residential services staff to attend TCI training delivered by agency TCI trainers. Supervisors supported the project by implementing the behavior management and intervention strategies on a unit basis. TCI trainers who were also agency supervisors then were able to monitoring their use on a day-to-day basis. The supervisor-trainer then was able to integrate what was learned on the unit into subsequent training and refresher courses offered to agency staff. Executive staff, supervisors, clinical staff, and direct care workers, as well as project implementation and evaluation staff shared leadership and learning throughout the organization.

**TCI principles and organizational mission.** It was obvious from the project that one of the important lessons from implementation was that the organization leadership, clinical, and supervisory staff had little difficulty with TCI’s essential philosophy that a child’s behavior is an expression of a child’s needs. Implementation success as measured by a reduction in incidents may suffer if any organization finds this philosophy too much of a concept shift.

**Incident monitoring.** Another significant outcome is the development of a monitoring and evaluation system to assess the impact and effectiveness of an agency’s crisis prevention and management intervention system, and on quantifiable outcomes such as the frequency and kinds of incidents. This simple design can be used by clinical or administrative staff to assess the impact of their decisions, policies, or plans, on caregiver/child interactions. For example, this monitoring and evaluation design can offer administration the capacity to track periods of the day when children and staff may be more vulnerable. Using this type of data in management decisions is not a new concept and has been in the human services literature during the past decade with the rise of computer-based information management and quality assurance systems. A crisis intervention strategy is a necessary and critical aspect of a residential child care agency’s treatment and behavior management for children who have the potential for aggressive and self-destructive behavior.

**Conclusions**

Clearly, this modest study showed that this organization benefited from the implementation of TCI during the study period. The benefits were evident on different levels. Direct care staff increased and retained their crisis intervention knowledge and techniques, and they were more confident in their ability to manage crises as they arose. Staff reported that their confidence working with colleagues as a team increased, and overall there was a more consistent approach to children in crisis across units, and among staff shifts within units. In addition to building staff knowledge and confidence levels, selected supervisory staff learned techniques for conducting effective training programs and assisting staff cope with crises. This project provides limited but promising evidence that increasing staff workers’ knowledge and skills, improving their confidence, and utilizing comprehensive prevention, de-escalation, crisis, and post-crisis strategies and techniques can result in substantial reductions in the most aggressive child behavior and offer significant reductions in physical restraint interventions.
Learning From Tragedy: The Results Of a National Study of Fatalities in Out-of-Home Care

Introduction

Recent newspaper stories in the United States have drawn attention to fatalities that have occurred over the past decade where physical and mechanical restraints, psychotropic medication, isolation, and seclusion appeared to play a major role in the deaths of both adults and children. The 1998 series in the newspaper, The Hartford Courant documented, over a 10-year period, 142 fatalities of individuals whose ages range from 6 years to 78 years where a combination of physical and mechanical restraints, psychotropic medication, isolation, and/or seclusion contributed to death. As a result of this series, as well as other media attention on subsequent deaths, federal and state legislation and regulations have been proposed which would limit the use of physical and mechanical interventions with children, and well as banning outright certain techniques. Professional organizations and accreditation organizations have followed suit and have outlined restrictions on the use of physical and mechanical interventions and techniques. Often these legislative and regulatory shifts have taken place with little but newspaper accounts of the fatalities to inform these modifications.

Survey Methodology

In 1998 Cornell University’s Family Life Development Center surveyed how children die in foster care, kinship care, group homes, residential care, and juvenile correction facilities. The survey had two distinct strategies: a mailed survey approach and an internet newspaper search. A 43-question survey was mailed to each of the 50 states, as well as the District of Columbia, the Commonwealth of Puerto Rico, and the Virgin Islands. The survey asked child welfare, youth correction, mental health, and developmental disability officials for child (age 18 or under) fatality information for the years 1996, 1997, and 1998 from their sponsored or licensed facilities. The survey resulted in a return of 71 surveys from 42 states and the District of Columbia. This represents a 39% return rate. This mail survey was augmented by a second strategy: an internet search for fatalities to children in out-of-home care due to restraint and isolation.

Survey Findings

Our mailed survey indicates that the vast majority of children who died in residential care died from a chronic disease or condition. Other circumstances (in much smaller numbers) included fatalities due to homicide, suicide, accidents, and isolation and restraint. The remainder of this review will only address those deaths that had physical or mechanical restraints as causative or contributing factors.

Our internet search uncovered 18 such fatalities, while our traditional survey documented only 8 of these 18 fatalities. The 17 of the 18 fatalities uncovered by the internet search were reported in the 1998 Hartford Courant report.

- **Age and gender.** The overwhelming majority of the fatalities were males (n=14). Both males and females ranged from 6 to 17 years in age with a mean of 14 years.

- **Immediate cause of death.** Positional asphyxia was listed as the leading cause of death (n=8). Cardiac arrhythmia or cardiac arrest occurred in four cases, while the remaining causes were listed as strangulation (n=1), aspiration (n=1), unspecified or unknown (n=4). While psychotropic medication appeared to play a part in two fatalities, the psychotropic medication history was unknown in the vast majority of cases.

- **Circumstances surrounding the fatalities.** Four fatalities occurred in some form of mechanical restraint, while 14 fatalities were a result of physical intervention. In 7 of the 14 cases of physical restraint, there was only 1 staff worker involved. In three of the physical intervention fatalities, two staff workers were involved, and in the remaining four physical intervention fatalities, the number of staff workers involved was unknown. In two
cases children were known to be on psychotropic medication. In one case the child was restrained over a lengthy period of time or multiple times.

Discussion

This fatality survey raises many more questions than it answers. Still there are common causes and circumstances of the restraint deaths we have described:

- weight on the child’s upper torso, neck, chest, or back
- restricted breathing due to a child’s position
- restraints conducted without assistance or monitoring
- signs of the child’s distress were ignored
- a child’s agitation prior to restraint
- a combination of psychotropic medication and the child’s agitation

Residential Child Care Project staff members have been involved in an in-depth analysis of some of these fatalities, and other serious events. A careful analysis reveals when the above circumstances exist within an organizational culture that does not have built-in monitors for safety, serious injury or death can result. Some of the ingredients within an organization’s culture that can lead to serious injury and fatalities are described below:

- Restraints are so commonplace within the organization that they are accepted as appropriate interventions to enforce program compliance and alleviate problems due to staff shortages, scheduling, and program deficits. Staff has little or no awareness of the potential dangers inherent in restraints, and feel that they are safe practice because “no one usually gets hurt.”
- With a high frequency of use and a dependence on physical interventions, there is little or no monitoring or processing of the events to prevent future occurrence. Often there are so many interventions, they are perceived as a normal part of the job.

- “Home grown” training and crisis intervention packages without “expert” screening abound in the field, with in-house trainers and training further isolating the methods from review. A variation of this is when organizations at one time used an outside expert-based package, but did not keep the trainers and training resources current. The physical intervention methods are handed down with each generation of trainers who add their own spin or ideas. Eventually some of the physical techniques taught evolve into dangerous techniques.
- Little supervision and coaching occur with line staff, and new staff are often left to “figure it out themselves” and get trained by other staff “on-the-job” (often in questionable practices).
- There is no consistent monitoring by supervisors or colleagues. An attitude of professional “courtesy” develops that translates into, “You know what you are doing, and I won’t question it.” “I will not interrupt any intervention you make, even if I don’t agree.”
- There is little or no clinical oversight or medical screening, and what information is gleaned from screening is often not conveyed to line staff. For example, children are given a variety of medications and staff workers have no idea of the side effects of any individual medication, much less combinations of medicines. Staff is not routinely informed of medical conditions. If workers are told, they are not given alternative strategies to use if physical restraint is contraindicated.

Recommendations

1. Leadership: The level of effectiveness of a crisis management system to help staff members prevent and reduce potentially dangerous situations depends on leadership’s commitment to its implementation. Leadership must provide adequate resources, including an adequate and qualified staff, support for regular external and internal monitoring, and clear rules and procedures that have safeguards
against abusive practices. Leadership should promote an organizational culture that values developmentally appropriate interventions and therapeutic practice above control and expediency.

2. **Clinical oversight:** Clinical services play an important role in overseeing and monitoring clients’ responses to crisis situations. Developing and implementing an individual crisis management plan is critical to responding appropriately and therapeutically to each child in crisis.

3. **Supervision:** Frequent and ongoing supportive supervision should be built into the implementation and ongoing monitoring of the crisis management system. Supervisors should be fully trained in all of the prevention, de-escalation, and intervention techniques so that they can provide effective supervision, coaching, and monitoring. A post-crisis multilevel response should be built into the practice. The child and staff member should receive immediate support and debriefing following a crisis. Discussing crisis incidents should be built into team/unit meetings so that all staff members can learn from these situations.

4. **Training:** Crisis prevention and management training should be one part of a comprehensive staff development program that provides core training as well as specialized training based on the population served. Refresher training should be conducted with all direct care staff members as recommended and required. At the completion of the original training and refresher training, staff members can be expected to perform the skills at an acceptable standard of performance. This performance should be documented and the staff should be held to a certain competency level of performance in order to use high-risk interventions. Trainers should be required to attend refreshers in order to maintain their training status.

5. **Documentation and critical incident monitoring:** Documentation is critical, and includes the documentation of staff supervision and training, and the documentation and monitoring of critical incidents throughout the agency. This documentation and monitoring system allows the organization to review incidents and make decisions about individual and organizational practice.
TCI System Implementation Criteria

A Model for Eliminating the Need for Restraint


…organizations where people continually expand their capacity to create the results they truly desire, where new and expansive patterns of thinking are nurtured, where collective aspiration is set free, and where people are continually learning to see the whole together.

Organizations can only learn when the people who make up the organization learn. Leadership must foster openness, collaborative decision making, professional development, and a shared vision of how the organization should work. Leadership needs to set bold goals and high expectations for staff and children and provide the support and resources necessary to achieve the goals. Implementing TCI with the goal of reducing the need for high-risk management strategies requires that organizations put in place a system to promote learning and reflective practice.

For TCI to be an effective crisis management system, the following five general domains need to be addressed: (a) leadership and program support, (b) clinical participation, (c) supervision and post crisis response, (d) training and competency standards, and (e) incident monitoring and feedback (Nunno et al., 2003). (See Figure 5).

**Leadership and program support.** The level of effectiveness to prevent and reduce the need for high-risk interventions depends on and begins with leadership’s commitment (Bullard, Fulmore, & Johnson, 2003; Carter, Jones, & Stevens, 2008; Colton, 2008; CWLA Best Practice Guidelines, 2004; Donat, 1998, 2005; Farragher, 2002; Hellerstein et al., 2007; Huckshorn, 2006; J. A. Miller et al., 2006; Murphy & Bennington-Davis, 2005; NASMHPD, 2003; Nunno et al., 2003; Paterson et al., 2008; Petti et al., 2003; Stefan & Phil, 2006; Thompson et al., 2008). When leadership is fully informed about the TCI crisis prevention and management system and understands its foundation, leaders can support the necessary components that are integral to its implementation and maintenance. Policies, procedures, and guidelines that are clearly written and communicated assist staff members in knowing what to do when confronted with potential crises. Staff members throughout the organization know how to prevent, de-escalate, and contain a young person’s aggressive and acting out behavior in line with organizational guidelines.

A clear program philosophy and framework of care are essential for establishing an organizational culture that promotes the growth and development of children and young people with emotional and behavioral difficulties and for establishing organizational practices that are in the best interests of the children (Anglin, 2002; M. J. Holden, 2009). Leaders can promote an organizational culture that establishes an environment where children can thrive by valuing developmentally appropriate and therapeutic practice above control and expediency. With a positive, trauma sensitive, and strength based culture and climate an organization can decrease its reliance on punitive and coercive interventions and restraints (Bullard et al., 2003; Colton, 2008;
Farragher, 2002; Hellerstein et al., 2007; Huckshorn, 2006; J. A. Miller et al., 2006; Murphy & Bennington-Davis, 2005; NASMHPD, 2003; Paterson et al., 2008; Petti et al., 2003; Stefan & Phil, 2006). Organizations that decrease use of restraints can have positive child outcomes (Glisson, Dukes, & Green, 2006).

By providing sufficient resources including adequate and qualified staff, support for regular external and internal monitoring, and clear rules and procedures that have safeguards against abusive practices, leadership promotes positive programming and an organizational culture to sustain the therapeutic milieu.

**Clinical participation.** Clinical services play an important role in overseeing and monitoring children’s responses to crises. Developing and implementing an individual crisis management plan (ICMP) is critical to responding appropriately and therapeutically to a young person in crisis (Bullard et al., 2003; Carter et al., 2008; CWLA Best Practice Guidelines, 2004; Donat, 1998, 2005; Farragher, 2002; Hellerstein et al., 2007; Huckshorn, 2006; Murphy & Bennington-Davis, 2005; NASMHPD, 2003; Nunno et al., 2003; Paterson et al., 2008; Salias & Wahlbeck, 2005; Stefan & Phil, 2006). These plans are most effective when developed with input from team members and the child and the child’s family, and are written in clear and concise language so that the care staff can implement the plan.

At intake, a risk assessment of the child’s propensity to engage in high-risk behaviors and the conditions that have provoked these behaviors in the past can provide valuable information. Key questions to address are: (a) How can high-risk behaviors be prevented? (b) Is there a need for an ICMP? (c) What intervention strategies should be used if an ICMP is necessary?

Well-developed ICMPs include strategies for preventing, de-escalating, and managing potential high-risk behavior specific to the child. Included in the plan are specific physical interventions, if appropriate, or alternative strategies if physical intervention is not an option. It is important to screen all young people in care for any pre-existing medical conditions that would be exacerbated if the young person were involved in a physical restraint. Any medications that the young person may be taking which would affect the respiratory or cardiovascular system should also be noted. If there is a history of physical or sexual abuse that could contribute to the young person experiencing emotional trauma during a physical restraint, it is equally important to document this in the plan.

Ongoing reviews of the young person’s crisis plan with revisions as the child’s condition changes will help staff develop more effective ways to prevent and intervene with the child’s high-risk behaviors.

**Supervision and post crisis response.** Frequent and ongoing supportive supervision, mentoring, and coaching are essential for creating and sustaining an organization’s ability to reduce the need for restraint and maintain good quality care (Bullard et al., 2003; Colton, 2008; CWLA Best Practice Guidelines, 2004; Donat, 1998, 2005; Farragher, 2002; Huckshorn, 2006; J. A. Miller et al., 2006; Murphy & Bennington-Davis, 2005; NASMHPD, 2003; Nunno et al., 2003; Petti et al., 2003; Ryan, Peterson, Tetreault, & van der Hagen, 2008; Thompson et al., 2008). Reflective and supportive supervision is built into the implementation and ongoing monitoring of the TCI crisis management system. Supervisors who are fully trained in all of the prevention, de-escalation, and intervention techniques can provide effective supervision, coaching, and monitoring of their staff members. Fully trained and effective supervisors have reasonable expectations with realistic time frames and schedules for staff so that staff can accomplish tasks and respond to young people’s needs in a thoughtful and well-planned manner.

This post crisis response system ensures that all young people and staff receive immediate support and debriefing following a crisis as well as a brief medical assessment (Bullard et al., 2003; CWLA Best Practice Guidelines, 2004; Farragher, 2002; Huckshorn, 2006; J. A. Miller et al., 2006; Murphy & Bennington-Davis, 2005; NASMHPD, 2003; Nunno et al., 2003; Petti et al., 2003). Once things are back to normal, all staff members involved in the restraint can deconstruct
the incident to develop strategies for intervening in the future. It is important to notify families when their child has been involved in a physical intervention. Building a discussion of crisis incidents into team/unit meetings helps staff learn from these situations and provides accountability and support at the highest level.

Training and competency standards. Training and professional development are a cornerstone of any professional organization. Programs that keep staff informed and updated on the special needs of the young people in their care can enhance treatment and child outcomes. A comprehensive training agenda includes prevention, de-escalation, and management of crises as well as child and adolescent development, trauma sensitive interventions, and individual and group behavior support strategies (Bullard et al., 2003; CWLA Best Practice Guidelines, 2004; Donat, 2005; Farragher, 2002; M. J. Holden & Curry, 2008; Huckshorn, 2006; Murphy & Bennington-Davis, 2005; NASMHPD, 2003; Nunno et al., 2003; Paterson et al., 2008; Petti et al., 2003; Ryan et al., 2008; Thompson et al., 2008).

TCI training is only one part of a comprehensive staff development program that provides core training, as well as specialized training based on the population served. TCI training is only to be conducted by a certified TCI trainer. The TCI training should be 4 to 5 days in length with a minimum of 28 classroom hours. If the training is less than 28 hours, the physical restraint techniques should not be taught. TCI trainers are required to attend a Cornell University sponsored TCI Update and pass testing requirements at least every 2 years (1 year in New York State and in the United Kingdom and Ireland) in order to maintain their certification.

Training for direct care staff to refresh skills is required semiannually at a minimum. Refreshers are designed to give staff the opportunity to practice de-escalation skills, Life Space Interviewing, and physical restraint skills. At the completion of the original training and each refresher, staff are expected to perform the skills at an acceptable standard of performance. Documentation of these training events and staff’s level of competency is critical in order to maintain the TCI system and ensure that staff can competently use high-risk physical interventions. In addition, the health and fitness level of all staff members trained in the use physical interventions should be considered.

Documentation and incident monitoring and feedback. Documentation, data analysis, and feedback to all levels of staff teams are an important part of restraint reduction efforts (Bullard et al., 2003; Carter et al., 2008; CWLA Best Practice Guidelines, 2004; Donat, 2005; Farragher, 2002; Huckshorn, 2006; J. A. Miller et al., 2006; Murphy & Bennington-Davis, 2005; NASMHPD, 2003; Nunno et al., 2003; Paterson et al., 2008; Petti et al., 2003; Stefan & Phil, 2006; Thompson et al., 2008). Data management includes the documentation of staff supervision and training and the documentation and monitoring of incidents throughout the facility. An agency-wide committee appointed by leadership with the authority and responsibility to enforce documentation requirements and track the frequency, location, and type of incidents as well as any injuries or medical complaints that occur in the facility helps to monitor the effectiveness of the TCI system. This documentation and monitoring system allows the facility to review incidents and make decisions about individual and organizational practice and recommend corrective actions.

In addition to an agency-wide restraint review committee, a clinical review of incidents and a team or unit review can assist organizations in making changes to help reduce high-risk situations. These reviews focus on different aspects of the incident and provide feedback on any information or suggestions to the team, clinician, or administration. Some type of benchmarking or red flagging should call attention to any situation that exceeds the norm and requires a special review. For example, this red flag might appear when the number of incidents per month exceeds a set number, when restraints exceed a certain length of time, or when specific complaints or injuries that are unlikely to occur during a restraint are reported.
Residential child caring agencies have been able to reduce physical restraint episodes and aggressive behavior by following these guidelines and effectively implementing the TCI system. Implementation of TCI has resulted in an increased ability on the part of staff to manage and prevent crises. Implementation studies have also shown an increased knowledge and skill on the part of all staff to handle crisis episodes effectively, and a change in staff attitude regarding the use of physical restraint when TCI is implemented as designed (Nunno et al., 2003).
Questions For Implementation Assessment

Leadership and Program Support

System consistent with mission
☐ Does TCI support the organization’s mission?
☐ Does the agency have a well thought out program model based on the population and overall mission of the organization?
☐ Does the program model include strength-based programming and trauma-informed principles?

Administration
☐ Does the leadership of the organization understand and support TCI as the crisis prevention and management system?
☐ Are there adequate resources at the agency to support the TCI system, i.e., training hours, adequate staffing patterns, strong program, skilled supervisors?

Policies, rules, and procedures
☐ Do the policies and procedures clearly describe intervention strategies taught in the TCI training?
☐ Are the procedures understandable and communicated to all staff?
☐ Are there clear guidelines against abusive practice?

External and internal monitoring
☐ Are there supports for an ongoing monitoring system?
☐ Are external monitoring organizations engaged to review the agency’s practice?
☐ Do children and advocates play a role in informing agency practice and policy?

Culture
☐ Does the organizational culture value developmentally appropriate practice above control and expediency?
☐ Do staff feel supported in using the techniques they learn in TCI training?

Program appropriate to child’s needs
☐ Is TCI an appropriate and effective crisis management system based on the type of children served?

Clinical Participation

Individual crisis management plans
☐ Has the team completed a functional analysis of each child’s individual high-risk behavior?
☐ Is there an individual plan to eliminate the need for external controls by helping the child develop better and more functional coping behaviors?
☐ Is there a specific strategy for intervention tailored to the needs of the child?
☐ Is the child involved in identifying de-escalation preferences and triggers?
☐ If physical restraint is inappropriate based on the special needs or situation of the child, are there alternative interventions described?
Medical screening

☐ Has each child been medically screened for pre-existing conditions that might contraindicate physical restraint?
☐ Is there documentation about any medication prescribed or combinations of medication taken and the effects on the child?

Documented ongoing reviews

☐ Is the individual crisis management plan reviewed on a regular and frequent basis for progress or modification of intervention strategies?

Supervision and Post Crisis Response

Supervisors fully trained in TCI

☐ Have the direct care supervisors been trained in TCI so that they can coach, support, and have reasonable expectations of staff members?

Types of supervision

☐ Do supervisors provide on-the-job training in the form of coaching staff in early intervention and LSI skills?
☐ Is supervision supportive, frequent, and ongoing?

Post-crisis multilevel response

☐ Do supervisors provide on-the-spot debriefing and support in a crisis situation?
☐ Does staff conduct LSIs with the child after a crisis?
☐ Does staff have time and support to immediately document incidents?
☐ Do supervisors conduct a process debriefing with staff workers within 24 hours of the incident?
☐ Are incidents discussed in team meetings in order to share information and develop better intervention strategies and improve programming?

Training and Competency Standards

Basic/core training

☐ Do direct care staff workers receive core training in skills necessary to be a competent care worker, i.e., child development, activity planning, group processing, separation and loss, routines and transitions, relationship building, trauma assessment, and re-traumatization practices?

Crisis intervention training

☐ Do all staff workers receive a minimum of 28 hours of TCI training?
☐ Are there additional training sessions if the children have special needs that should be considered?
☐ Is the training safe? Is it delivered by certified trainers?

Ongoing staff development

☐ Do staff members attend additional, ongoing training that is relevant to the children and program?
Refreshers

- Do staff members attend TCI refreshers at least every 6 months? preferably every 3 months?
- Do staff members practice and receive corrective feedback on the main skills, i.e., LSI, physical intervention techniques, behavior support skills, co-regulation strategies during these refreshers?

Credentialling based on achieving a level of competence

- Are staff members tested by a certified trainer in the core skill areas?
- Is the level of competency of each staff person documented and maintained in that individual’s personnel file?
- Are staff members required to demonstrate competency before using crisis management skills with children in crisis?

Documentation and Incident Monitoring and Feedback

Incident review committee

- Is there an agency-wide committee that reviews incidents? Does that committee have some authority to recommend and implement policy and changes? Are advocates and/or children involved in monitoring incidents?

Peer review

- Is there clinical oversight of incidents and interventions?

Team review

- Does the team or unit review incidents on a regular basis?

Data monitoring

- Are incidents documented in a timely and comprehensive manner?
- Is the following information collected: frequency, location/time, circumstances surrounding the event, child/staff frequency of events, child/staff injuries?

Feedback loop

- Is the information collected and reviewed by committees fed back into the system to inform the program?

Red flags/benchmarks

- Are there benchmarks that, when surpassed, call for review of different strategies?
The effectiveness of the Therapeutic Crisis Intervention system to help staff workers prevent and reduce potentially dangerous situations depends on leadership’s commitment to its implementation. TCI should be consistent with the organization’s mission and philosophy. The leadership should be fully informed about the TCI crisis management system, and understand its foundation and support the necessary components that are integral to its implementation and maintenance. There should be clear policies, procedures, and guidelines in writing, communicated to all staff members. Every staff person should know what to do when confronted with potential crisis situations, and how to prevent, de-escalate, and contain a young person’s aggressive and acting out behavior.

Leadership must provide adequate resources, including adequate and qualified staff, support for regular external and internal monitoring, and clear rules and procedures that safeguard against abusive practices. Leadership should promote an organizational culture that values developmentally appropriate and therapeutic practice above control and expediency. It is essential that the organization have a strong overall program structure that drives individual treatment or care plans, activities, and routines, and staff and young people’s interactions. This program structure should be informed by trauma research and strength-based programming.

**Services Offered**

**Assessment and Planning Meeting: Program Description**

Implementing the TCI system begins with leadership. After an assessment of the organization’s present crisis management system is conducted, a strategic plan can be developed to prioritize needs and target resources to facilitate the implementation of TCI. This plan provides a road map for staff members responsible for developing and maintaining the critical elements of the system. A day of assessment and planning provides the information necessary for agencies to develop a list of strengths and needs in the five areas of the system: (a) leadership and program support, (b) clinical participation, (c) supervision and post crisis response, (d) training and competency standards, and (e) documentation and incident monitoring and feedback. Agencies will also prioritize needs and develop an action plan to implement the TCI System fully.

**Program Objectives**

Participants will:
- examine the five criteria for an effective crisis prevention and management system
- assess their agency’s present crisis management system based on the TCI implementation criteria
- prioritize the needs of the organization in relation to implementing TCI
- develop an action plan that addresses needs and describes the steps to be taken to implement TCI

**Intended Audience**

The leadership should carefully select this work group so that it represents various expertise, disciplines, and programs. These should be staff members who have the authority and ability to carry out the implementation plan, such as the CEO, Medical Director, Quality Assurance Director, Clinical Director, Director of Residential Services, program and unit supervisors, training director and TCI trainers (present and/or those to be trained), social workers/therapists, nurses, etc.

**Program Outline**

9:00 a.m.
- Introductions, Overview, and Expectations
- Goals of the TCI System and Goals for the Day
- TCI Implementation Criteria
- Group Assessment of Present Crisis Management System
- Prioritizing Needs

12:00 p.m. Lunch

1:00 p.m.
- Developing an Action Plan
- What to Expect When Implementation Begins
- Next Steps

4:00 p.m. Adjourn
Materials
Participants will receive the *TCI Systems Bulletin* and a copy of the assessment and plan developed at the meeting.

Additional Technical Assistance Available
- review crisis related policies and procedures
- give feedback/review programmatic issues as they relate to TCI
- do an assessment of organizational culture as it relates to crisis intervention
- meet with administrators and leaders to discuss implementation of the TCI system
- meet with the board of directors to present information about the TCI system

Model Policy on the Use of Physical Interventions

Definition
- Physical interventions and restraints are holding techniques, strategies, or actions that directly limit, restrict, or control a young person’s bodily or physical movements.
- Physical interventions including physical restraints to contain and/or control the behavior of children and young people in care, should only be used to ensure safety and protection. Except where otherwise specified as part of an approved individual crisis management plan, physical interventions should only be employed as a safety response to acute physical behavior and their use is restricted to the following circumstance:

  **Standard for use: The child/young person, other children, staff members, or others are at imminent risk of physical harm.**

Risk and Safety Issues
As any physical intervention involves some risk of injury to the young person or staff worker, staff members must weigh this risk against the risks involved in failing to intervene physically when it may be warranted.

Contraindications
Physical interventions must never be used as:
- punishments
- consequences, or
- to demonstrate “who is in charge”

Unless approved by the relevant statutory authorities and specified in an individual crisis management plan, physical interventions must never be used for:
- program maintenance (such as enforcing compliance with directions or rules or for preventing the young person from leaving the premises) or
- for therapeutic purposes (such as forming attachment as promoted by “holding” therapy advocates or inducing regressive states)

Use
- Physical interventions should only be employed after other less intrusive approaches (such as behavior diversions or verbal interventions) have been attempted unsuccessfully, or where there is no time to try such alternatives.
- Physical interventions must only be employed for the minimum time necessary. They must cease when the child or young person is judged to be safe.

Necessary Requirements Prior to Use
- Physical interventions may only be undertaken by staff persons who have successfully completed a comprehensive crisis management course that covers: crisis definition and theory; the use of de-escalation techniques; crisis communication; anger management; passive physical intervention techniques; the legal, ethical, and policy aspects of physical intervention use; decision-making related to physical interventions; and debriefing strategies. Staff members must also have demonstrated competency in performing the intervention techniques as measured and documented according to relevant professional and/or state regulatory guidelines.
• All staff workers involved in an incident of physical intervention must have successfully completed the agency-endorsed crisis management training. Such training should be fully implemented in the agency, and upon completion of training, staff workers should have been assessed as competent in the use of physical interventions. Staff workers must also have successfully completed a skills review within the previous 6 months.
• Only physical intervention skills and decision-making processes that are taught in the comprehensive crisis management course and approved by the agency (and any relevant statutory authority) may be used. All techniques (including decision-making processes) must be applied according to the guidelines provided in the training and in this policy.

Process for Use
• Where possible, staff members must consult with peers and supervisors prior to initiating any physical intervention.
• Two or more staff members should be involved in any physical intervention to help ensure safety and accountability.

Notes
Clinical services play an important role in overseeing and monitoring children’s responses to crisis situations. Developing and implementing an individual crisis management plan is critical to responding appropriately and therapeutically to a child or young person in crisis. Children should have a functional analysis of their high-risk behavior with a plan that will eliminate the need for external controls by helping the child develop replacement behaviors and more appropriate coping skills. The plan should also include a strategy for intervening that describes specific safety interventions, including physical, mechanical, or chemical restraints and/or seclusion, if appropriate, or alternative strategies if one of these techniques is not an option. This involves screening the child for any pre-existing medical conditions that would be exacerbated if the child were involved in a restraint. Medications that the child may be taking that would affect the respiratory or cardiovascular system should be noted. If there is a history of physical or sexual abuse, this should be considered as it could contribute to the child experiencing emotional trauma during a physical restraint. There should be ongoing reviews of the child’s progress toward goals of eliminating the need for external controls.

**Services Offered**

**Individual Crisis Management Planning Workshop: Program Description**

One of the major responsibilities of clinical services in the TCI System is to assist direct care staff in preventing and monitoring a young person’s aggressive and inappropriate responses to crisis situations in residential care. This preventive and monitoring role is formalized through individual crisis management plans (ICMPs). These plans include a functional analysis of a young person’s high-risk behavior. The ICMPs include risk and safety screening, history of sexual abuse or trauma, pre-existing medical, psychological and emotional conditions, potential triggers to violence, and de-escalation strategies. The functional analysis of behavior and the safety screening help determine specific behavioral and physical interventions necessary to ensure safety for the young person. These plans provide a road map for direct care staff workers when dealing with a potential crisis situation.

**Program Objectives**

Participants will be able to:
- differentiate between proactive and reactive aggression
- apply differential intervention strategies
- develop an ICMP that considers safety, risk, and effective intervention strategies
- involve direct care staff workers in developing and updating the ICMP
- develop an implementation plan incorporating ICMPs in their own agency

**Intended Audience**

This workshop is intended for TCI trainers, clinical staff and social workers, therapists, nurses, supervisors, and medical staff. Participants should have clinical and/or supervisory responsibilities within an agency and have attended a TCI training in an agency or a TCI Training of Trainers course.

**Program Outline**

9:00 a.m.
- Introductions
- Overview of the TCI System
- Role of Clinical Services
- High-Risk Behavior
- Individual Crisis Management Plans
- Types of Aggression

12:00 p.m. Lunch

1:00 p.m.
- Assessing Aggressive Behavior
- Developing ICMPs
- Implementation Planning

4:00 p.m. Adjourn
**Materials**

Participants receive a student workbook and an individual crisis management plan template.

**Additional Technical Assistance Available**

- review ICMPs
- conduct case reviews and assist in the development of ICMPs
- observe units to monitor the use of ICMPs
- work with the team on developing a process for ICMPs
- assist in the development of a system to involve children in developing de-escalation preference strategies
- provide a review of models of trauma-informed care to enlighten practice
Frequent and ongoing supportive supervision should be built into the TCI crisis management system. Supervisors should be fully trained in prevention, de-escalation, and intervention techniques so that they can provide effective supervision, coaching, feedback, and monitoring. Supervisors should have reasonable expectations with realistic timeframes and schedules for staff workers so that they can accomplish tasks and respond to childrens’ needs in a thoughtful and well planned manner. A post-crisis multilevel response system should be built into the practice. All staff members should receive immediate support and debriefing following a crisis. There should also be a process debriefing once things are back to normal. Families should be notified when their child has been involved in a safety intervention. Discussing crisis incidents should be built into team/unit meetings so that everyone can learn from these situations.

Program Objectives
Participants will:
• analyze the effect of a crisis on staff members and the organization
• demonstrate immediate debriefing strategies
• demonstrate the incident review process with the staff member(s)
• demonstrate the team debriefing process
• use the ICMP in the debriefing process
• develop an implementation plan for the post-crisis multilevel response

Intended Audience
This workshop is for TCI trainers, administrators, supervisors, social workers, and therapists. Participants should have supervisory responsibilities within an agency and have attended a TCI training in an agency or a TCI Training of Trainers course.

Program Outline
9:00 a.m.
• The Role of Supervision in the TCI System
• Stress Model of Crisis: Staff/Agency Perspective
• Direct Supervision
12:00 p.m. Lunch
1:00 p.m.
• Immediate Response
• Incident Review with Staff
• Incident Review with Team
• Implementation Planning
4:00 p.m. Adjourn

Materials
Participants receive a student workbook.

Technical Assistance
• meet with supervisor(s) to review the post-crisis response system
• conduct incident reviews with the team
• observe units to provide supervision for agency
• provide direct supervisory details in relation to TCI
• provide additional supervisory training
TCI Implementation: Training and Competency Standards

TCI should be one part of a comprehensive staff development program that provides core training as well as specialized training based on the population served. TCI training is only to be conducted by a trainer who has successfully completed a Cornell-sponsored Training of Trainers course. The direct TCI course should be 4 to 5 days in length with a minimum of 28 hours if all physical intervention techniques are taught. TCI trainers are required to successfully complete a Cornell University-sponsored update at least every 2 years in order to maintain their trainer certification status (1 year in New York State and in the United Kingdom/Ireland).

Training that refreshes skills should be conducted with all direct care staff at a minimum of every 6 months, but preferably, quarterly. Refreshers should give staff the opportunity to practice de-escalation techniques, Life Space Interviewing, and physical restraint skills. At the completion of the original training and after refreshers, staff can be expected to perform the skill at an acceptable standard of performance. This performance should be documented and staff should be held to a certain competency level of performance in order to use high-risk interventions.

Services Offered

Therapeutic Crisis Intervention Training of Trainers: Program Description

A child or young person in crisis needs help. What kind of help and how it is given make a crucial difference between the young person’s learning from the experience or being set back. The goals of TCI training are to provide immediate emotional and environmental support in a way that reduces the stress and risk and teaches better, more constructive, effective ways to deal with stress or painful feelings.

Training of Trainers in TCI presents a crisis prevention and intervention model designed to help staff workers prevent potential crises, de-escalate crises when they occur, and assist children and young people to learn constructive ways to handle feelings of frustration, failure, anger, and hurt. In addition, physical intervention techniques that respect the dignity of the worker and the young person are practiced. The program also gives participants the tools to teach therapeutic crisis intervention techniques in their own agencies. There is an opportunity to practice and gain immediate training experience. The course stresses crisis prevention.

Program Objectives

Participants will be able to:
• proactively prevent and/or de-escalate a potential crisis situation with a child or young person
• manage a crisis situation in a therapeutic manner, and, if necessary, intervene physically in a manner that reduces the risk of harm to children and staff
• process the crisis event with children and young people to help improve their coping strategies
• effectively deliver TCI training in their agencies

Intended Audience

This course is for trainers, managers, counselors, and care workers capable of training therapeutic crisis intervention techniques. Participants are required to be capable of moderate physical activity and pass written and competency-based testing at the end of the course.

Materials

Participants receive a trainer’s manual containing a complete curriculum, a DVD, a CD with a PowerPoint™ presentation, and corresponding student workbook and testing materials to use in their direct training.

Technical Assistance

• conduct training skills seminars for TCI trainers
• observe TCI training and give feedback
• assess TCI trainers in delivering direct training
• observe units to assess the transfer of learning
• assist in implementing and testing an evaluation system
**Agenda: TCI Training of Trainers**

**Monday**
8:45 am  
Introduction to Course  
TCI System  
Crisis Prevention and Therapeutic Milieu  
The Importance of Emotional Competence  
Stress Model of Crisis  
Assessing the Situation  
Awareness of Self, Child, and Environment  
*Assignments for Tuesday distributed to participants*
5:00 pm  
*Session adjourned*

**Tuesday**
8:45 am  
Crisis Communication and Active Listening  
Behavior Support Techniques  
Emotional First Aid  
Conflict Cycle  
Managing Aggressive Behavior  
Nonverbal Communication  
Protective techniques  
*Training assignments for Wednesday and Thursday*
5:00 pm  
*Session adjourned*

**Wednesday**
8:45 am  
Crisis Co-regulation  
Life Space Interviewing  
Choosing a safety intervention  
Standing Restraint  
Seated Restraint  
Small Child Restraint  
Team Prone Restraint and Transferring Control  
Supine Restraint and Transferring Control  
*Training assignments for Wednesday and Thursday*
5:00 pm  
*Session adjourned*

**Thursday**
8:45 am  
Crisis Intervention Role Plays  
Practicing physical interventions  
The Letting Go Process  
Safety Concerns and Documentation  
Practicing with Resistance  
Criteria for Implementing TCI System and Action  
Planning  
5:00 pm  
*Session adjourned*

**Friday**
8:45 am  
Implementation and Testing  
Life Space Interviewing After Restraint  
Testing  
Physical intervention techniques  
LSI  
Written test  
Certification Process  
Close of Program  
4:00 pm  
*Session adjourned*
TCI Certification Process

The certification program is designed to develop, maintain, and strengthen the standards of performance for individuals who have successfully completed the requirements of the 5-day TCI training. This process affirms our commitment to ensure that TCI is implemented in child caring agencies in a manner that meets the developmental needs of young people, and the safety of both children and staff. Certification includes an agreement to practice in accordance with TCI principles, which provides a framework for TCI practice and training and general standards that include levels of certification, regulations, and requirements for continuing or maintaining the certification process.

Associate Certification

Certification represents a high standard of professional practice. An associate certification is granted at the completion of training if the participant successfully completes the training and evaluation requirements. To maintain associate level certification, certified trainers must attend a Cornell sponsored TCI update at least every 2 years (1 year in New York State and in the United Kingdom/Ireland).

Basic requirements for associate certification

- Successful completion of the training of trainers program. Successful completion is defined as complete attendance and a passing score on a written test and on skill demonstrations in key competency areas.
- Participants agree to practice in accordance with TCI principles and follow the guidelines for training and implementing TCI.

Privileges associated with associate certification

- certification to provide direct TCI training according to the TCI guidelines within your agency and direct training sponsored by your agency
- eligibility for professional certification after a minimum of 1 year

Professional Certification

The second level of certification is the professional level. After a minimum of 1 year as an associate certified TCI trainer, applicants have to perform at a professional level for the predetermined number of competencies and submit portfolios of their work. To maintain professional level certification, certified trainers must attend a Cornell sponsored TCI update at least every 2 years (1 year in New York State and in the United Kingdom/Ireland).

Basic requirements for professional certification

- Successful completion of a TCI update program designed for professional certification. Successful completion is defined as complete attendance and a passing score on a written test and on skill demonstrations in key TCI competency areas.
- Successful completion of a minimum of four direct training programs of a prescribed length with prescribed evaluation instruments within their associate certification period. Successful completion is defined by acceptable trainee performance on selected evaluation instruments and a review of actual video footage of a prescribed number of training activities.

Privileges associated with professional certification

- certification to provide direct training within your organization/agency and direct training sponsored by your agency
- certification to provide direct training outside of your organization/agency
- eligibility to participate on a certification committee
TCI Implementation: Documentation, Incident Monitoring, and Feedback

Documentation is critical, and includes the documentation of staff supervision and training, and the documentation and monitoring of incidents throughout the agency. As part of an agency’s leadership and administrative support for TCI, an agency-wide committee should have the authority and responsibility to enforce documentation requirements, track the frequency, location, and type of incidents that occur. In addition, any committee or data/management system should have the potential to monitor staff, children, and programmatic involvement in incidents. This documentation and monitoring system allows the leadership to review incidents and make decisions about individual and organizational practice.

In addition to an agency-wide restraint review committee, there should be a peer review (clinical review) of incidents and a team or unit review. These reviews focus on different aspects of the incident and feedback any information or suggestions to the team, clinician, or administration. There should be some type of benchmarking or red flagging that is put in place that will note any situation that exceeds the norm and requires a special review. For example, a red flag might appear after a certain number of incidents occur during a month, or if restraints exceed a certain length of time.

Documentation is the basis for incident monitoring at all levels of an agency’s organizational structure. Although each organization determines the kind of events that are considered critical, all restraints should be documented by all workers who were involved in or who monitored a restraint. Young people may also want or need to document the restraint they were involved in or witnessed.

All physical interventions need to be documented, and documentation should be on separate incident or restraint forms. It is important to write down what happened. Regulatory requirements may dictate what is included in an incident report. Minimally, the following information should be included:

1. Who was involved?
2. Where did it take place?
3. When did it happen?
4. What were the antecedents?
5. What action did staff member(s) take to de-escalate the situation?
6. Is there is an individual crisis management plan for the child? Did these actions or procedures conform to the plan?
7. If physical contact was made, who did what? (be specific)
8. How long did the restraint last? Who was involved and how?
9. Were there any injuries? Was medical attention given to the child or staff member(s)?
10. What plan was developed in the Life Space Interview?
11. Was any follow-up needed?
12. Were staff members debriefed?
13. Statements from witnesses should include a description of what they observed.

Documentation is essential for many reasons. It is important for charting child progress, for providing clear and concise information if there are abuse allegations, for gathering information to improve services to young people and families, and for communicating with staff members and families. By taking a close look at what has happened, staff members can plan and alter the environment to meet young people’s needs better and prevent future crises. Families should be notified after a restraint or crisis occurs. They should be involved so that they can offer support and guidance to the young person. Working in partnership with the family is critical when dealing with crises.

Technical Assistance
- attend incident review meetings and give feedback
- review documentation and give feedback
- conduct workshops on deconstructing incidents and assessing risk
- assist clinical and supervisory staff in tying documentation into the individual crisis management plan debriefing


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Bibliography


TCI Faculty, Instructors, and Staff

Faculty and Staff

Kristen Carlison supports the data management needs of the RCCP. Within these duties she manages the data needs of TCI's certification and testing system, she manages and oversees both the TCI and CARE databases, as well as TCI and CARE implementation projects. She also has responsibilities for the project's quarterly reports, budget proposals, and proposal development.

Thomas J. Endres, M.A., is an extension associate with the BCTR. Mr. Endres has extensive experience working in residential and group care settings. He has held multiple positions including residential supervisor, clinician, program management, and development. Mr. Endres provides training and technical assistance to agencies implementing CARE and TCI across North America.

Martha J. Holden, M.S., is a Senior Extension Associate with the BCTR, principal investigator and Director of the RCCP. Ms. Holden provides technical assistance and training to residential child caring agencies, schools, juvenile justice programs, and child welfare organizations throughout the United States, Canada, the United Kingdom, Ireland, Australia, and Israel. She is the author of Children and Residential Experiences (CARE): Creating Conditions for Change, a best practice model for residential care organizations. Ms. Holden has published in the Children's and Youth Services Review, Child Abuse and Neglect: An International Journal, Journal of Emotional and Behavioral Problems, Residential Treatment for Children & Youth, and The Journal of Child And Youth Care Work. She has co-authored chapters in the books, Therapeutic Residential Care for Children and Youth: Developing Evidence-based International Practice; For Your Own Safety: Examining the Safety of High Risk Interventions for Children and Young People; International Perspectives on Inclusive Education, Volume 2, Transforming Troubled Lives: Strategies and Interventions with Children and Young People with Social, Emotional, and Behavioural Difficulties; Understanding Abusive Families: An Ecological Approach to Theory and Practice. Previously Ms. Holden served as an administrator overseeing the day-to-day operations of a residential treatment agency for adolescents, including its education resources.

Charles Izzo holds a Ph.D. in Clinical Psychology with a specialty in the design and evaluation of community-based services to improve family functioning. His work has focused on applying social science research and methods to improve the quality of human service programs, particularly those that target caregiving. He has published in journals such as Prevention Science, International Journal of Child and Family Welfare, and The Journal of Prevention and Intervention in the Community.

I. Franklin Kuhn, Jr., Ph.D., is a Senior Extension Associate with the BCTR. A clinical psychologist, Frank has worked in clinical, educational, and administrative positions with child welfare organizations and universities for over 35 years. He has served as medical school faculty and has provided consultation and training to agencies across the U.S. Dr. Kuhn provides training and technical assistance to agencies implementing CARE and TCI throughout North America. He currently serves on the editorial board of the International Journal of Child, Youth and Family Services. Research interests include implementation and evaluation of program models in human service organizations and facilitation of positive organizational change.

Lisa McCabe, Ph.D., is a Research Associate in the Bronfenbrenner Center for Translational Research and Director of the Cornell Early Childhood Program at Cornell University. Her research focuses broadly on early childhood education and care issues. Current and recent projects have examined supports for professional development, program fidelity, and quality in a variety of settings including residential child care programs, family child care homes, and child care centers.

Alissa Medero helps coordinate National and NYS TCI trainings as well as being one of the primary contacts for information regarding training information/location for our NYS and National TCI programs. She handles the training registrations for TCI at the RCCP. She sends out confirmation letters, training materials and corresponds with participants to ensure a productive training for all.

Debra Mojica, works for the Residential Child Care Project in administrative services. She assists the RCCP by setting up hotel contracts for New York
State and national trainings, arranging media services, organizing logistical arrangements, entering pertinent information into a database, and mailing confirmation letters and training materials.

**Michael Nunno**, D.S.W., is a Senior Extension Associate with the Bronfenbrenner Center for Translational Research (BCTR), and the co-principal investigator of the Residential Child Care Project (RCCP). He has expertise in social policy, regulation, and legislation related child welfare issues as well as specific expertise in the identification, prevention, and etiology of child abuse and neglect in residential care. More recently Dr. Nunno has been working with therapeutic and residential child-care organizations to measure the impact of Cornell University’s Therapeutic Crisis Intervention system and its Children and Residential Experiences program model on critical incidents. Dr. Nunno has published in the *Child Protective Services Team Handbook*, as well as in *Children and Youth Services Review; Child and Youth Care Forum; Child Welfare; Child Abuse and Neglect: An International Journal; Children and Society; Protecting Children; The American Journal of Orthopsychiatry; Psychiatric Services and The Journal of Child and Family Studies*. He was editor of the *Journal of Child and Youth Care*’s dedicated issue on institutional maltreatment and co-editor of the book, *For Your Own Safety: Examining the Safety of High-Risk Interventions for Children and Young People*.

**Trudy Radcliffe** is the primary contact person for Children and Residential Experiences (CARE), a program model for residential services. She coordinates CARE training, registration, evaluation, certification and logistics. She also helps coordinate TCI-SAFE and other research initiatives.

**Eugene Saville**, A.A.S., is the administrative assistant for the Residential Child Care Project. He is responsible for scheduling training programs, handling registration, and coordinating materials for all of the RCCP training programs. In addition, he oversees the website and provides information and assistance to the public in regard to the many programs of the RCCP.

**Holly Smith** handles the processing of testing and evaluation materials for the RCCP training. Her responsibilities include scanning and grading testing materials, e-mailing individual’s test results, preparing certification letters to be mailed, emailing participants reminder and expiration emails and maintaining the database. She also prepares the quarterly reports for New York State, National and International.

**Andrea Turnbull**, M.A., LMHC, QS, is an extension associate with over 20 years experience working with young people in residential and foster care settings. She has held positions such as direct care worker, milieu coordinator, program director, training director and clinical coordinator. In addition to her work as a TCI instructor providing training and technical assistance for the Residential Child Care Project, she also helps coordinate the TCI program.

**Greg Wise**, M.A. Is an extension associate with the BCTR. Mr. Wise has extensive experience working with mentally ill, developmentally disabled and emotionally disturbed populations. He has held positions as director, program director and residential supervisor. Mr. Wise provides TCI training to residential child care agencies, schools, juvenile justice programs and child welfare organizations for the Residential Child Care Project.

**RCCP Consultants**

**Craig Bailey**, B.S., has worked with youth in residential care and school settings since 1996. He has served youth and families through Hillside Children’s Center, Monroe 2-Orleans BOCES, and Crestwood Children’s Center. Craig is currently a Manager in Organizational Development and Learning with Hillside Family of Agencies, located in Rochester, NY. He is a primary TCI trainer for new employees and helps coordinate the implementation of the TCI system throughout all of the service affiliates of Hillside Family of Agencies. Craig has worked as a consultant with the Residential Child Care Project since 2007 and facilitates TCI Train-the-Trainer and TCI Trainer Updates in the United States and internationally.

**Doug Bidleman**, B.A., served most recently as the Senior Learning Coach for the Learning Institute at Hillside Family of Agencies in Rochester, NY. He has over 40 years of experience at Hillside providing
service to children and families in a residential treatment environment. Doug was responsible for overseeing Hillside Children’s Center Behavior Management System addressing all aspects of crisis intervention in an effort to ensure the best practice and client and staff safety.

Diana Boswell, Ph.D., is the Director of Therapeutic Welfare Interventions in Canberra, Australia. She trained as a clinical child and adolescent psychologist and has worked in forensic, mental health, education and out-of-home care services as a clinician, program manager and agency director. She has a particular interest in children with autism spectrum disorders, trauma, and problematic sexualised behaviours. She also has an interest in program development and has worked with Cornell in implementing the CARE model in Australian agencies, and in offering the TCI Train-the-trainer program across the country.

Sharon Butcher, M.A., is the Director of Education at the Waterford Country School, a non-profit human service agency located in southeastern Connecticut. Her professional career began as a childcare worker in the residential treatment program at WCS before becoming a Special Education Teacher and advancing into her current role. In addition to being a TCI trainer for her agency Sharon is also a CARE trainer and is deeply devoted to the sustainably of the CARE model in the school.

John Gibson, M.S.W., MSSc, CQSW, is owner of Secure Attachment Matters – Ireland. He is qualified in Social Work and has worked in 4 different residential child care settings for a total of 21 years. He consults to residential child care organizations, principally in relation to development of models of care. He provides direct support to high risk foster placements, working systemically with all significant parties. He was among the first workers to train in TCI in Ireland and Britain. He joined the RCCP as an Instructor in 2001. He holds post graduate qualifications in Social Learning Theory (Child Care) and in Social Work Management and Leadership. He is trained in the Child Attachment Interview at the Anna Freud Centre (London).

Richard Heresniak has worked in the field of residential care since 1985, beginning his career at Astor Services for Children and Families – an agency at which he remains employed on a part time basis. His primary responsibilities at Astor are training, staff development, and providing support to Astor’s school and residential programs. Richard was Cornell’s first professionally certified TCI trainer, and in addition to his work at Astor, has been a consultant with the Residential Child Care Project since 2003. He provides training and technical assistance in TCI, TCIS, and CARE. His work with the project also includes curriculum design and development, as well as providing written contributions to project communications.

Jack C. Holden, Ph.D., has been an instructor and project consultant with Cornell University’s RCCP for nearly 30 years. Dr. Holden earned a Ph.D. in Education, specializing in Adult Learning and has presented workshops and research nationally and internationally and has authored, *Developing Competent Crisis Intervention Training*, and co-authored a chapter, *Preventive Responses to Disruptive and High-Risk Behaviours*, in the book *International Perspectives on Inclusive Education*. Dr. Holden has co-authored several training manuals including *Therapeutic Crisis Intervention for Schools (TCIS)*, and published in the *Journal of Child and Youth Care Work, and Journal of National Staff Development and Training Association*.

Beth Laddin, L.M.S.W., works as a school social worker in Albany, NY. Previously, Ms. Laddin worked for the BCTR at Cornell as a Program Manager and as a Field Instructor. As a Field Instructor, Ms. Laddin trained child service providers in the TCI program. Other child welfare experience includes positions in Child Protective Services, residential facilities, administrative state positions, facility quality assurance work, and program development.

William Martin, MHSA, has been working with children and families with special needs for over 30 years. He is the Executive Director of Waterford Country School, a non-profit human service agency providing a multitude of services including residential treatment, emergency shelters, safe homes, group
Eddie Mendez has worked with children and young people in a variety of settings including custody, Residential programs and Foster Care for more than 25yrs. Nearly all of this work has been in Western Sydney, Australia. Eddie has for several years also been involved in the facilitation and development of training workshops. Eddie has been involved with the TCI program since 2000-2001. In addition to his long engagement with the welfare sector Eddie is also a foster carer.

Marty Mineroff, M.S., has an extensive background in education. He retired from the New York City Department of Education in June 2008, after 29 years working with special needs students in Brooklyn, NY. He began his career as a special education teacher, became a unit coordinator, an assistant principal, and finally spent 14 years as principal of a special education school. His school in Brooklyn, NY, provided educational services for 300 students in three community schools, grades K-8. Marty became a certified TCI Instructor in May 2009 and is assisting the RCCP in implementing TCI in schools as well as training TCI.

Andrea Mooney, M.Ed., JD, is an original author of TCI and has been involved with the program since its inception. She has been a Special Education teacher, a law guardian, and a consultant. She is now a clinical professor at the Cornell University Law School and an attorney/trainer in private practice, specializing in child advocacy and family law.

Nick Pidgeon, BSc, is Director of NJP Consultancy and Training Ltd. based in Bridge of Allan, Scotland. He has many years experience in social work and over 15 years experience as an independent consultant. He has provided training and consultancy throughout Britain and Ireland and in the USA, Canada, Australia, and Russia. Since 1993 he has been a consultant to the RCCP.

Michele A. Pierro, M.S., holds an M.S. in Educational Psychology, Secondary Education, and certificate of Advanced Studies in Educational Administration. For the past 40 years Michele has worked in Middle and High schools, programs for Gifted and Talented and in a maximum security facility for juvenile offenders. She has been a faculty member at Columbia Greene Community College, a Principal and Director of Special Education at the Questar III BOCES in Castleton, NY, Director of School Safety and Positive Behavior Supports in D75 in NYC and Director of Security Resources for the NYCDOE, providing technical assistance to schools on the NYS Persistently Dangerous List. She joined the RCCP in August 2012.

Mary Ruberti, LMSW, is currently the Quality Assurance/Performance Improvement Manager at the Villa of Hope in Rochester, NY. Ms. Ruberti has worked in child welfare and residential treatment for over 25 years in various positions including child care worker, residential supervisor, social worker and training coordinator. Ms. Ruberti has been a project consultant with the Residential Child Care Project at Cornell University since 1993. She has had the privilege of providing training and technical assistance for the Therapeutic Crisis Intervention (TCI) and Children and Residential Experiences (CARE) projects.

Zelma Smith, LMSW, Child Welfare Consultant and Trainer, has over 40 years of experience in the field of child welfare including training, consultation, curriculum development, supervision, and direct service delivery. Her work experience includes training in kinship care, recruitment, preparation and selection of foster and adoptive parents, residential treatment programs, child abuse and neglect and meeting planning. Formerly, she was chairperson for the National Association of Black Social Workers’ National Kinship Task Force Committee and a current member of the National Kinship Advisory Committee at the Child Welfare League of America. She is a TCI and CARE instructor on the Residential Child Care Project.
**Angela Stanton-Greenwood, MA, MEd, CQSW**

has worked with individuals with complex needs for over thirty years as a practitioner with Barnardos in residential care and education and now as a Workforce Development Manager in the Hesley Group England. She is a TCI and Proact SCIP R UK instructor. Ms. Stanton-Greenwood coordinates the TCI program in Europe.

**Laurence Stanton-Greenwood, BA hons in Education and Training, Qualified Social Worker with Qualified Teacher status**

has worked with a population of people with complex needs both in Social Care and Education for 34 years as a practitioner and manager. He now works as a training manager for the Hesley Group, England, coordinating and delivering a range of training programmes including TCI. He became a TCI Instructor in 2012.

**Raymond Taylor, Msc.**

is a registered social worker and senior social work manager with one of Scotland’s largest local authorities and a Visiting Senior Research Fellow at the University of Strathclyde’s Glasgow School of Social Work. He has extensive experience in social work practice, education, research, and training and is the editor and joint author of a number of books and articles on children’s welfare. A member of the International Advisory Board of the Encyclopedia of Social Work, and the editorial board of the Scottish Journal of Residential Child Care, he has been a TCI consultant since the introduction of TCI into Britain and the Republic of Ireland in 1992.

**Michael E. Thomas, II, M.Div.,**

is a freelance organizational training consultant instructing TCI for the BCTR, on faculty with The Sanctuary Institute, and Senior Facilitator for The Energy Project. Throughout his 15 years in residential treatment services, Michael worked as a teacher/counselor, child behavior specialist, program manager, group facilitator, and training director. Publications include contributions in Therapeutic Communities and a textbook article in Danish professional development book, *Engelsk: Paedagogisk Assistant, Caring for Children with Special Needs*, edited by Anne Brunstrom.

**Notes**
For more information about the Residential Child Care Project, please visit our web site at http://rccp.cornell.edu